

**NL - 37 : STATEMENT OF DOWN GRADED INVESTMENTS - 2 (Final / Audited)**

Name of the Insurer : **UNITED INDIA INSURANCE COMPANY LIMITED**

Registration Number : **545**

Statement as on : **31-Mar-2019**

**STATEMENT OF DOWN GRADED INVESTMENT**

Periodicity of Submission - **Quarterly**



**Rs. in lakhs**

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
<b>A. During the Quarter<sup>1</sup></b>									
1	9.15% DHFL 2019	ORAD	2,500.00	06-Apr-16	CARE	AAA	AA-	03-Feb-19	Unapproved
2	9.25% DHFL 2023	ORAD	1,000.00	15-Sep-16	CARE	AAA	AA-	03-Feb-19	Unapproved
3	9.80% IFCI 2019	IODS	1,000.00	20-Nov-14	CARE	A	BBB	29-Mar-19	Unapproved
4	10.60% Reliance Capital 2021	ORAD	2,000.00	28-Dec-11	CARE	AA+	A+	06-Mar-19	Unapproved
5	10.75% Reliance Capital 2021	ORAD	2,500.00	31-Oct-11	CARE	AA+	A+	06-Mar-19	Unapproved
6	10.60% Reliance Capital 2022	ORAD	2,500.00	26-Mar-12	CARE	AA+	A+	06-Mar-19	Unapproved
7	9.50% Reliance Home Fin, 2025	ORAD	997.50	16-Jun-15	CARE	AA	AA-	06-Mar-19	Unapproved
8	8.80% Reliance Home Fin 2020	ORAD	2,500.00	17-Dec-15	CARE	AA+	AA-	06-Mar-19	Unapproved
9	9.50% Reliance Home Fin, 2025	ORAD	1,000.00	11-Jun-15	CARE	AA	AA-	06-Mar-19	Unapproved
10	9.80% Reliance Home Fin, 2024	ORAD	1,500.00	16-May-14	CARE	AA+	AA-	06-Mar-19	Unapproved
11	9.52% Reliance Home Fin, 2023	ORAD	1,500.00	29-Jul-13	CARE	AA+	AA-	06-Mar-19	Unapproved
12	10.33% Reliance Home Fin, 2022	ORAD	2,000.00	12-Oct-12	CARE	AA	AA-	06-Mar-19	Unapproved
13	10.40% Reliance Home Fin, 2022	ORAD	2,000.00	26-Sep-12	CARE	AA	AA-	06-Mar-19	Unapproved

**B. As on date<sup>2</sup>**

1	8.15% APPFC 2020	SGOA	487.50	04-May-10	ICRA	A+	D	27-Sep-16	Guaranteed
2	8.74% APPFC 2022	SGOA	1,000.00	23-Nov-10	ICRA	A	D	27-Sep-16	Guaranteed
3	9.15% Bank of Baroda (Perp)	EUPD	1,000.31	01-Apr-13	CARE	AAA	AA+	25-Mar-16	Approved
4	9.00% Bank of India (Perp)	ORAD	2,994.00	01-Apr-13	CARE	AAA	AA-	28-Dec-18	Unapproved
5	9.05% Birla Corporation Ltd 2020	ECOS	2,002.13	13-Jun-16	CARE	AA+	AA	09-Aug-16	Approved
6	8.57% Central Bank 2025	ORAD	974.14	11-Jun-10	ICRA	AA	A-	08-Aug-17	Unapproved
7	9.05% Corporation Bank (Perp)	ORAD	1,000.00	11-Aug-09	CRISIL	AAA	A+	31-Aug-17	Unapproved
8	11.25% Deccan Chronicle 2012	OLDB	2,994.46	12-Jul-11	CARE	P1+	D	02-Jul-12	Unapproved
9	9.15% DHFL 2019	ORAD	2,500.00	06-Apr-16	CARE	AAA	AA-	03-Feb-19	Unapproved
10	9.25% DHFL 2023	ORAD	1,000.00	15-Sep-16	CARE	AAA	AA-	03-Feb-19	Unapproved
11	12.00% First Leasing (I) Ltd 2017	OLDB	1,500.00	31-May-12	CARE	AA	C	10-Jan-14	Unapproved
12	9.50% Gammon India 2020	IODS	1,920.00	18-Jun-10	CARE	AA	D	06-Oct-14	Unapproved
13	9.55% Hindalco Industries, 2022	ECOS	15,003.18	27-Apr-12	CRISIL	AA+	AA	20-Jun-14	Approved
14	9.60% Hindalco Industries, 2022	ECOS	1,953.40	02-Aug-13	CRISIL	AA+	AA	20-Jun-14	Approved
15	8.90% IDFC First Bank 2019	ECOS	2,000.00	05-Oct-09	FITCH	AAA	AA+	27-Jun-18	Approved
16	8.65% IDFC First Bank 2020	ECOS	2,000.00	30-Jun-10	FITCH	AAA	AA+	27-Jun-18	Approved
17	8.77% IDFC First Bank 2020	ECOS	2,500.00	11-Feb-10	FITCH	AAA	AA+	27-Jun-18	Approved
18	9.05% IDFC First Bank 2020	ECOS	1,000.57	07-Jan-11	FITCH	AAA	AA+	27-Jun-18	Approved
19	7.98% IDFC First Bank 2023	ECOS	2,000.00	31-May-13	FITCH	AAA	AA+	27-Jun-18	Approved
20	8.50% IDFC First Bank 2023	ECOS	2,568.27	27-Jan-17	FITCH	AAA	AA+	27-Jun-18	Approved
21	8.82% IDFC First Bank 2025	ECOS	996.60	11-Nov-10	FITCH	AAA	AA+	27-Jun-18	Approved
22	8.90% IDFC First Bank 2025	ECOS	2,500.00	03-May-10	FITCH	AAA	AA+	27-Jun-18	Approved
23	8.90% IDFC First Bank 2025	ECOS	2,000.00	01-Dec-10	FITCH	AAA	AA+	27-Jun-18	Approved
24	9.28% IDFC First Bank 2026	ECOS	1,500.00	21-Apr-11	FITCH	AAA	AA+	27-Jun-18	Approved
25	9.35% IDFC First Bank 2026	ECOS	1,000.00	28-Feb-11	FITCH	AAA	AA+	27-Jun-18	Approved
26	9.80% IFCI 2019	IODS	1,000.00	20-Nov-14	CARE	A	BBB+	01-Jun-18	Unapproved
27	8.58% IL&FS 2018	ORAD	2,000.00	08-Dec-15	CARE	AAA	D	17-Sep-18	Unapproved
28	8.75% IL&FS 2020	ORAD	2,000.00	22-May-15	CARE	AAA	D	17-Sep-18	Unapproved
29	8.75% IL&FS 2020	ORAD	2,500.00	31-Jul-15	CARE	AAA	D	17-Sep-18	Unapproved
30	8.75% IL&FS 2020	ORAD	1,999.60	03-Aug-15	CARE	AAA	D	17-Sep-18	Unapproved
31	9.20% IL&FS 2020	ORAD	497.65	08-Jul-14	CARE	AAA	D	17-Sep-18	Unapproved
32	7.90% IL&FS 2021	ORAD	2,500.00	25-Oct-16	CARE	AAA	D	17-Sep-18	Unapproved
33	9.95% IL&FS 2021	ORAD	2,500.00	07-Feb-14	CARE	AAA	D	17-Sep-18	Unapproved
34	9.98% IL&FS 2021	ORAD	1,507.72	05-Dec-11	CARE	AAA	D	17-Sep-18	Unapproved
35	9.50% IL&FS 2024	ORAD	3,002.39	28-Jul-14	CARE	AAA	D	17-Sep-18	Unapproved
36	9.55% IL&FS 2024	ORAD	1,500.00	20-Aug-14	CARE	AAA	D	17-Sep-18	Unapproved
37	8.69% IL&FS 2025	ORAD	1,002.89	10-Sep-15	CARE	AAA	D	17-Sep-18	Unapproved
38	8.72% IL&FS 2025	ORAD	1,504.08	13-May-15	CARE	AAA	D	17-Sep-18	Unapproved
39	10.30% IL&FS Financial Services 2021	ORAD	1,008.81	09-Jan-12	CARE	AAA	D	17-Sep-18	Unapproved

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Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
40	9.40% IL&FS Transport Network 2019	ORAD	4,000.00	12-Apr-16	CARE	AAA	D	17-Sep-18	Unapproved
41	10.00% KBJNL 2024	SGOA	3,527.85	20-Feb-14	Brickwork	AA	AA-	10-Jun-16	Guaranteed
42	9.10% OBC (Perp)	ORAD	1,000.00	17-Dec-09	CARE	AA	A	25-Sep-18	Unapproved
43	9.20% OBC 2024	ORAD	1,006.69	07-Nov-14	CARE	AA+	A	25-Sep-18	Unapproved
44	8.23% PNB Housing Fin 2019	HTDN	4,500.00	14-Oct-15	ICRA	AAA	AA+	01-Apr-16	Approved
45	9.35% Punjab National Bank 2024	ORAD	2,000.00	11-Sep-14	CARE	AAA	AA-	20-Feb-18	Unapproved
46	10.60% Reliance Capital 2021	ORAD	2,000.00	28-Dec-11	CARE	AA+	AA-	06-Mar-19	Unapproved
47	10.75% Reliance Capital 2021	ORAD	2,500.00	31-Oct-11	CARE	AA+	AA-	06-Mar-19	Unapproved
48	10.60% Reliance Capital 2022	ORAD	2,500.00	26-Mar-12	CARE	AA+	AA-	06-Mar-19	Unapproved
49	9.50% Reliance Home Fin, 2025	ORAD	997.50	16-Jun-15	CARE	AA	AA-	06-Mar-19	Unapproved
50	8.80% Reliance Home Fin 2020	ORAD	2,500.00	17-Dec-15	CARE	AA+	AA-	06-Mar-19	Unapproved
51	9.50% Reliance Home Fin, 2025	ORAD	1,000.00	11-Jun-15	CARE	AA	AA-	06-Mar-19	Unapproved
52	9.80% Reliance Home Fin, 2024	ORAD	1,500.00	16-May-14	CARE	AA+	AA-	06-Mar-19	Unapproved
53	9.52% Reliance Home Fin, 2023	ORAD	1,500.00	29-Jul-13	CARE	AA+	AA-	06-Mar-19	Unapproved
54	10.33% Reliance Home Fin, 2022	ORAD	2,000.00	12-Oct-12	CARE	AA	AA-	06-Mar-19	Unapproved
55	10.40% Reliance Home Fin, 2022	ORAD	2,000.00	26-Sep-12	CARE	AA	AA-	06-Mar-19	Unapproved
56	8.50% SAIL 2019	EPBT	1,500.00	23-Dec-09	FITCH	AAA	AA	29-Jul-16	Approved
57	8.60% SAIL 2019	EPBT	2,000.00	23-Nov-09	FITCH	AAA	AA	29-Jul-16	Approved
58	8.65% SAIL 2019	EPBT	1,500.00	28-Jan-10	FITCH	AAA	AA	29-Jul-16	Approved
59	8.72% SAIL 2020	EPBT	3,972.45	07-May-10	FITCH	AAA	AA	29-Jul-16	Approved
60	8.75% SAIL 2020	EPBT	2,000.00	29-Apr-10	FITCH	AAA	AA	29-Jul-16	Approved
61	9.25% Shriram Housing Fin 2019	HTDA	1,998.00	30-Dec-14	FITCH	AA+	AA	06-Jul-16	Approved
62	9.00% Shriram Housing Fin 2023	HTDA	1,500.00	03-May-16	FITCH	AA+	AA	06-Jul-16	Approved
63	10.30% Shriram Housing Fin 2024	HTDA	1,000.00	14-Oct-14	FITCH	AA+	AA	06-Jul-16	Approved
64	10.75% Simplex infra 2022	IODS	1,000.00	10-Dec-12	CARE	AA	A-	28-Sep-18	Unapproved
65	11.00% Simplex Infra 2022	IODS	1,500.00	04-Jul-12	CARE	AA	A-	28-Sep-18	Unapproved
66	9.85% Tata Communications 2019	ICTD	1,000.79	10-Aug-09	CARE	AAA	AA+	30-Nov-10	Approved
67	11.40% Tata Power (Perp)	IODS	2,500.00	09-Jun-11	CRISIL	AA	AA-	10-Jan-14	Unapproved
68	9.15% Tata Power 2021	IODS	497.04	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
69	9.15% Tata Power 2021	IODS	976.20	23-Jul-10	CRISIL	AA+	AA-	10-Jan-14	Unapproved
70	9.15% Tata Power 2022	IODS	496.90	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
71	9.15% Tata Power 2023	IODS	496.77	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
72	9.15% Tata Power 2024	IODS	495.85	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
73	9.15% Tata Power 2025	IODS	992.43	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
74	10.75% Tata Power 2072	IODS	5,000.00	21-Aug-12	CRISIL	AA	AA-	10-Jan-14	Unapproved
75	2.00% Tata Steel 2022	ECOS	1,684.40	23-Apr-12	Brickwork	AA+	AA	27-Oct-16	Approved
76	9.15% Tata Steel 2021	ECOS	6,017.12	30-Jan-13	Brickwork	AA+	AA	27-Oct-16	Approved
77	11.80% Tata Steel (Perp)	OLDB	2,515.53	18-Mar-11	CARE	AA	AA-	18-Jan-17	Unapproved
78	8.64% TNEB 2020	SGOA	400.00	11-May-10	CRISIL	A+	A	04-Jan-15	Guaranteed
79	9.45% Vodafone Idea Ltd 2019	ORAD	500.00	05-Nov-12	CARE	AA	AA-	26-Nov-18	Unapproved
80	8.00% Yes Bank 2026	ECOS	11,536.94	01-Nov-16	ICRA	AA+	AA	28-Nov-18	Approved
81	9.00% Yes Bank 2026	ECOS	2,500.00	05-Apr-16	ICRA	AA+	AA	28-Nov-18	Approved

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 10.06.2019

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04